

**AUG 27 2007**

**FAX COVER SHEET**

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DATE: August 27, 2007

TO: Commissioner of Patents (571) 273-8300 United States Patent and Trademark Office

FROM: John W. Goldschmidt, Jr.

NO. OF PAGES: 19

CLIENT / MATTER: 22830-67061

USER ID NUMBER: 1181

COMMENTS: Inventor: William F. Walsh  
Appl. No.: 09/588,389  
Filing Date: June 6, 2000  
Title: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT  
Docket No: 05-1076  
C/M Ref. No. 22830-67061  
Facsimile Transaction Date: August 27, 2007 Atty/staff: JWG/sac

The U.S. Patent and Trademark Office acknowledges and has stamped hereon the date of receipt of the following items: Return Facsimile Cover Sheet (1 page); Transmittal Letter (1 page); Transmittal Form (1 page); Fee Transmittal Form (1 page); Petition for Extension of Time Under 37 C.F.R. 1.136(a) (1 page); Amendment (13 pages); - all filed via facsimile to Patent Central Fax (571) 273-8300.

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AUG 27 2007

# DILWORTH PAXSON LLP

LAW OFFICES

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JOHN W. GOLDSCHMIDT, JR.  
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August 27, 2007

**VIA TELEFAX**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: U.S. Patent Application Serial No.: 09/558,389  
Entitled: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR  
EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT  
Inventor: William F. Walsh  
Attorney Docket No.: 05-1076

Dear Sir:

In reference to the above-captioned application, enclosed herewith are the following:

1. Transmittal Form (1 page);
2. Fee Transmittal Form (1 page);
3. Petition for Extension of Time Under 37 C.F.R. 1.136(a) (1 page);
4. Amendment (13 pages);
5. Credit Card Authorization Form (1 page); and
6. Facsimile Cover Sheet for Auto Reply Facsimile Transmission (1 page).

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment related to this submission to Deposit Account Number 50-0979.

Respectfully submitted;

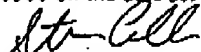
John W. Goldschmidt, Jr.  
Registration No. 34,828

JWG/sac  
Enclosures

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**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence, and all papers and things referred to herein as being attached, enclosed, transmitted, or otherwise submitted, are being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-8300 on the date set forth below.

  
Steven A. Cobb

Date: August 27, 2007

AUG 27 2007

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number 09/588,389

Filing Date June 6, 2000

First Named Inventor William F. Walsh

Art Unit 3691

Examiner Name Samuel Wels

Attorney Docket Number 05-1076

**ENCLOSURES (Check all that apply)**

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

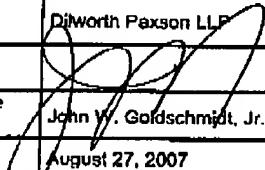
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
- Transmittal Letter; Credit Card Authorization Form; Return Facsimile Cover Sheet

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name Dilworth Paxson LLP

Signature 

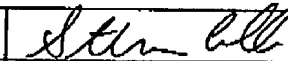
Printed name John W. Goldschmidt, Jr.

Date August 27, 2007

Reg. No. 34,828

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature 

Typed or printed name Steven A. Cobb

Date August 27, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 27 2007

PTO/SB/17 (04-07)

Approved for use through 04/30/2007. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/09/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2007</b>		<b>Complete if Known</b> Application Number 09/588,389 Filing Date June 6, 2000 First Named Inventor William F. Walsh Examiner Name Samuel Wies Art Unit 3691 Attorney Docket No. 05-1076	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$)	510		

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0979 Deposit Account Name: Dilworth Paxson LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**  
 25 - 20 or HP = 7 x 25 = 0  
 HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**  
 3 - 3 or HP = 7 x 100 = 0  
 HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
100	0	0	0	0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)      **Fees Paid (\$)**

Other (e.g., late filing surcharge), Petition for Extension of Time (3 Months), and Information Disclosure Statement

<b>SUBMITTED BY</b>		
Signature	Registration No. 34,828 (Attorney/Agent)	Telephone 215-575-7000
Name (Print/Type) John W. Golschmidt, Jr.		Date August 27, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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